

# Prime factorization of ideals in commutative rings, with a focus on Krull rings

Gyu Whan Chang

Incheon National University

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# Outline

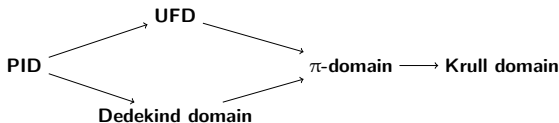
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All rings considered in this talk are commutative with identity.

Let  $R$  be a ring with total quotient ring  $T(R)$ .

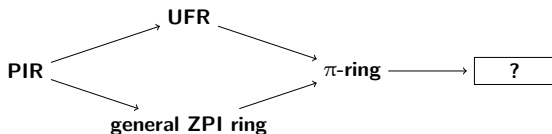
- An element of  $R$  is **regular** if it is not a zero divisor.
- $Z(R)$  denotes the set of zero divisors of  $R$ .
- $\text{reg}(R)$  is the set of regular elements of  $R$ , so  $T(R) = R_{\text{reg}(R)}$ .
- An ideal of  $R$  is **regular** if it contains a regular element of  $R$ .
- An ideal  $I$  of  $R$  is a  **$Z$ -ideal** if  $I \subseteq Z(R)$ .

# Integral domain Case



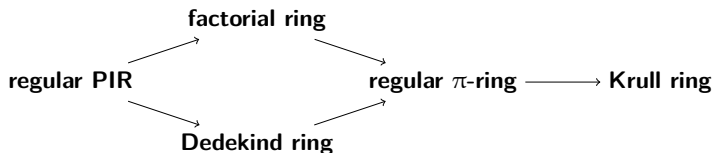
- An integral domain  $D$  is a  $\pi$ -domain if each nonzero proper principal ideal of  $D$  is a finite product of prime ideals.
- $D$  is a Krull domain if each nonzero proper principal ideal of  $D$  is a finite  $v$ -product ( $t$ -product) of prime ideals.

# Ring with zero divisor case

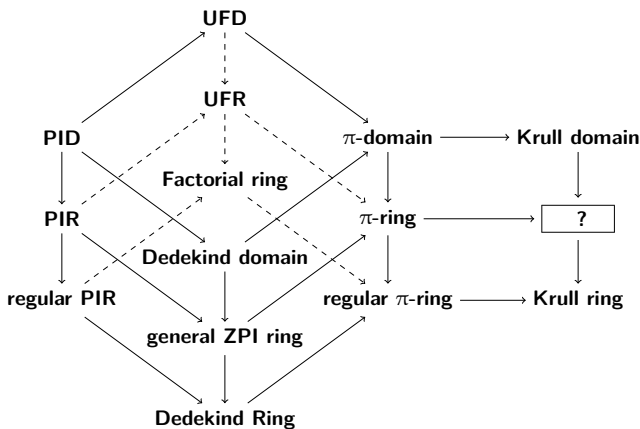


- $R$  is a **principal ideal ring (PIR)** if each ideal of  $R$  is principal.
- $R$  is a **(Fletcher's) unique factorization ring (UFR)** if each element of  $R$  can be written as a finite product of prime elements.
- $R$  is a **general ZPI ring** if each ideal of  $R$  can be written as a finite product of prime ideals.
- $R$  is a  **$\pi$ -ring** if each principal ideal of  $R$  can be written as a finite product of prime ideals.
- **(Question)** What is a natural generalization of Krull domains to rings with zero divisors ?

## Ring characterized by regular elements or ideals case



- $R$  is a **regular PIR** if each regular ideal of  $R$  is principal.
- $R$  is a **factorial ring** if each regular element of  $R$  can be written as a finite product of prime elements.
- $R$  is a **Dedekind ring** if each regular ideal of  $R$  can be written as a finite product of prime ideals.
- $R$  is a **regular  $\pi$ -ring** if each regular principal ideal of  $R$  can be written as a finite product of prime ideals.
- $R$  is a **Krull ring** if each regular principal ideal of  $R$  can be written as a finite  $v$ -product of prime ideals.





# Krull domains

Let  $D$  be an integral domain with quotient field  $K$  and  $X^1(D)$  be the set of nonzero minimal (i.e., height-one) prime ideals of  $D$ .

Then  $D$  is a **Krull domain** if

- ①  $D = \bigcap_{P \in X^1(D)} D_P$ ,
- ②  $D_P$  is a DVR for all  $P \in X^1(D)$ , and
- ③ each nonzero nonunit of  $D$  is contained in only a finitely many prime ideals in  $X^1(D)$ .

In 1955, Nagata proved that  $D$  is a Krull domain if and only if there exists a family  $\Delta$  of DVRs with quotient field  $K$  such that (i)  $D$  is the intersection of all rings in  $\Delta$  and (ii) every nonzero element of  $D$  is a unit in all but a finite number of rings in  $\Delta$ .

The theory of Krull domains was originated by Krull [W. Krull, *Über die Zerlegung der Hauptideale in allgemeinen Ringen*, Math. Ann. **105** (1931), 1-14.].

# SPR, PIR and general ZPI ring

- A ring  $R$  is said to be a **special primary ring (SPR)** or a **special principal ideal ring (SPIR)** if  $R$  is a local ring with maximal ideal  $M$  such that  $M$  is principal and  $M^n = (0)$  for some integer  $n \geq 1$ .

*Theorem (1960, Zariski and Samuel)*

*$R$  is a PIR if and only if  $R$  is a finite direct sum of PIDs and SPRs.*

- In 1940, S. Mori first studied the general ZPI-ring, where the letters ZPI stands for **Zerlegung Primideale**.

*Theorem (1951, Asano)*

*$R$  is a general ZPI-ring if and only if  $R$  is a finite direct sum of Dedekind domains and SPRs.*

# UFR and $\pi$ -ring

- In 1967, Fletcher introduced the notion of a **unique factorization ring (UFR)** which is just a UFD in case of integral domains and he showed

*Theorem (1970-1971, C.R. Fletcher)*

*$R$  is a UFR if and only if  $R$  is a finite direct sum of UFDs and SPRs.*

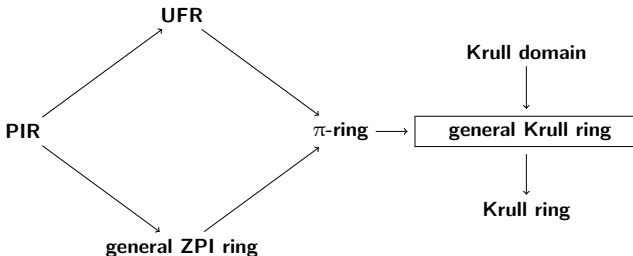
- In 1939, S. Mori gave a complete description of a  $\pi$ -domain.

*Theorem (1940, S. Mori)*

*$R$  is a  $\pi$ -ring if and only if  $R$  is a finite direct sum of  $\pi$ -domains and SPRs.*

# Introduction of a general Krull ring

- Inspired by these four types of rings and by the name of general ZPI-rings, we will say that  $R$  is **a general Krull ring** if  $R$  is a **finite direct sum of Krull domains and SPRs**, so we have the following implications.



# Counterexample

- $R$  is a Krull ring if and only if every regular principal ideal of  $R$  can be written as a finite  $v$ -product (or  $t$ -product) of prime ideals.

However, the next example shows that this is not true of general Krull rings.

## Example

Let  $R = \mathbb{Z} \times \mathbb{Q}$  be the direct sum of  $\mathbb{Z}$  and  $\mathbb{Q}$ .

- 1  $\mathbb{Z}$  and  $\mathbb{Q}$  are Krull domains, so  $R$  is a general Krull ring.
- 2 If  $I = (1, 0)R$ , then  $I_t = I_v = R$ . Hence,  $I$  cannot be written as a finite  $t$ - nor  $v$ -product of prime ideals.

# Question and purpose

- It is easy to see that  $D$  is a Krull domain if and only if there is a star operation  $*$  on  $D$  such that each nonzero proper principal ideal of  $D$  can be written as a finite  $*$ -product of prime ideals.

## Question

*Is there a star operation  $*$  on a ring so that a general Krull ring can be characterized as a ring in which each principal ideal can be written as a finite  $*$ -product of prime ideals?*

The purpose of this talk is to answer to Question affirmatively.

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# Fractional ideals

An  $R$ -submodule of  $T(R)$  is called a *Kaplansky fractional ideal*. A Kaplansky fractional ideal of  $R$  is *regular* if it contains a regular element of  $R$ .

- $K(R)$  is the set of Kaplansky fractional ideals of  $R$ .
- $F(R)$  is the set of *fractional ideals* of  $R$  (i.e.,  $I \in F(R)$  if and only if  $I \in K(R)$  and  $dI \subseteq R$  for some  $d \in \text{reg}(R)$ ), so  $F(R) \subseteq K(R)$ .
- An *(integral) ideal* of  $R$  is a fractional ideal of  $R$  that is contained in  $R$ .



# Definition of star operations

- A mapping  $*$ :  $K(R) \rightarrow K(R)$ , given by  $I \mapsto I_*$ , is a *star operation* on  $R$  if the following four conditions are satisfied for all  $I, J \in K(R)$  and  $a \in T(R)$ :

- ①  $R_* = R$ ,
- ②  $aI_* \subseteq (aI)_*$ , and equality holds when  $a$  is regular.
- ③  $I \subseteq I_*$ , and  $I \subseteq J$  implies that  $I_* \subseteq J_*$ .
- ④  $(I_*)_* = I_*$ .

- For all  $I \in K(R)$ , let

$$I_{*f} = \bigcup \{J_* \mid J \in K(R) \text{ is finitely generated and } J \subseteq I\}.$$

Then  $*_f$  is also a star operation on  $R$ .

- The star operation  $*$  is said to be *of finite type* if  $* = *_f$ , and  $*$  is said to be *reduced* if  $(0)_* = (0)$ . Clearly,  $*_f$  is of finite type, and  $*$  is reduced if and only if  $*_f$  is reduced.

# \*-ideals

- An  $I \in K(R)$  is a *\*-ideal* if  $I_* = I$ . A \*-ideal  $I$  is *of finite type* if  $I = J_*$  for some finitely generated subideal  $J$  of  $I$ . A \*-ideal is a *maximal \*-ideal* if it is maximal among proper integral \*-ideals.
- If  $*$  is a star operation of finite type, then
  - ① a prime ideal minimal over an integral \*-ideal is a \*-ideal,
  - ② a proper integral \*-ideal is contained in a maximal \*-ideal, and
  - ③ a maximal \*-ideal is a prime ideal.
- Let  $*_1$  and  $*_2$  be star operations on  $R$ . We say that  $*_1 \leq *_2$  if  $I_{*1} \subseteq I_{*2}$  for all  $I \in K(R)$ , equivalently,  $(I_{*1})_{*2} = (I_{*2})_{*1} = I_{*2}$ .

# The $d$ -, $v$ - and $t$ -operation

- The identity function  $d : K(R) \rightarrow K(R)$  is a star operation.
- For  $I \in K(R)$ , let

$$I^{-1} = (R :_{T(R)} I) = \{x \in T(R) \mid xI \subseteq R\},$$

then  $I^{-1} \in K(R)$ . The  $v$ - and  $t$ -operation are defined by

$$I_v = (I^{-1})^{-1} \text{ for all } I \in K(R), \quad \text{and} \quad t = v_f.$$

- It is known that  $d \leq *_f \leq *$ ,  $*_f \leq t \leq v$ , and  $* \leq v$  for any star operation  $*$  on  $R$ .

# \*-invertibility

- An  $I \in K(R)$  is said to be *invertible* if  $II^{-1} = R$ .
- As the \*-operation analog,  $I \in K(R)$  is said to be *\*-invertible* if  $(II^{-1})_* = R$ .

## Proposition

*If  $*$  is a star operation of finite type, then*

- ① *every \*-invertible Kaplansky fractional \*-ideal is of finite type and a t-invertible t-ideal,*
  - ② *every \*-invertible prime \*-ideal is a maximal t-ideal.*
- It is well known that an invertible ideal is regular, while a \*-invertible ideal need not be regular.

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# Valuation rings

A valuation on a ring  $R$  is a mapping  $v$  from  $R$  onto a totally ordered abelian group  $G$  with  $\infty$  adjoined such that

- (i)  $v(ab) = v(a) + v(b)$ ,
- (ii)  $v(x + y) \geq \min\{v(a), v(b)\}$  for all  $a, b \in R$ , and
- (iii)  $v(1) = 0$  and  $v(0) = \infty$ .

- If  $R_v = \{x \in R \mid v(x) \geq 0\}$  and  $P_v = \{x \in R \mid v(x) > 0\}$ , then  $R_v$  is a subring of  $R$ ,  $P_v$  is a prime ideal of  $R_v$ , and  $(R_v, P_v)$  is called a valuation pair of  $R$ .

- The valuation  $v$  on  $R$  was first studied by Manis when  $R$  is a ring with zero divisors [*Valuations on a commutative ring*, Proc. Amer. Math. Soc. 20 (1969), 193-198].

- If  $G = \mathbb{Z}$  is the additive group of integers, then the valuation on  $R$  is called a *rank-one discrete valuation* on  $R$ .

# Rank-one discrete valuation rings

Let  $v$  be a rank-one discrete valuation on  $T(R)$  such that

$$R = \{x \in T(R) \mid v(x) \geq 0\} \quad \text{and} \quad P = \{x \in T(R) \mid v(x) > 0\}.$$

- ①  $R$  is called a *rank-one discrete valuation ring (rank-one DVR)*.
- ② If  $P$  is regular (i.e.,  $P$  contains a regular element), then  $\text{reg-ht}P = 1$  (i.e.,  $P$  is a minimal regular prime ideal).
- ③ If  $T(R)$  is a field, then  $P$  is the maximal ideal of  $R$ , but this is not true in general.

# Definition of Krull rings

We say that  $R$  is a *Krull ring* if there exists a family  $\{(V_\alpha, P_\alpha) \mid \alpha \in \Lambda\}$  of rank-one discrete valuation pairs of  $T(R)$  with associated valuations  $\{v_\alpha \mid \alpha \in \Lambda\}$  such that

- (i)  $R = \bigcap \{V_\alpha \mid \alpha \in \Lambda\}$ ,
- (ii) for each regular  $a \in T(R)$ ,  $v_\alpha(a) = 0$  for almost all  $\alpha \in \Lambda$  and  $P_\alpha$  is a regular ideal for all  $\alpha \in \Lambda$ .

- Krull ring was introduced by J. Marot (1968), J. Huckaba [Integral closure of a Noetherian ring, Trans. Amer. Math. Soc. 220 (1976), 159-666], and Kennedy [*Krull Rings*, Pacific J. Math. **89** (1980), 131-136].



# Marot rings

$R$  is a **Marot ring** if each regular ideal of  $R$  is generated by a set of regular elements in  $R$ , which was introduced by J. Marot (1969).

## Example

A ring  $R$  is a Marot ring if  $R$  is one of the followings.

- ①  $R$  is an integral domain.
- ②  $R$  is a Noetherian ring.
- ③  $\dim T(R) = 0$ .
- ④  $R$  is an overring of a Marot ring.
- ⑤  $R$  is a general Krull ring.

D. Portelli and W. Spangher also studied Krull rings with additional assumption that the rings are Marot [*Krull rings with zero divisors*, Comm. Algebra **11** (1983), 1817-1851].

# Characterizations of Krull rings

- $X_r^1(R)$  is the set of minimal regular prime ideals of  $R$ .
- $R_{[P]} = \{z \in T(R) \mid zx \in R \text{ for some } x \in R \setminus P\}$ .

## Theorem

*$R$  is a Krull ring if and only if  $R$  satisfies the followings;*

- ①  $R = \bigcap_{P \in X_r^1(R)} R_{[P]},$
- ②  $(R_{[P]}, [P]R_{[P]})$  is a rank-one DVR for all  $P \in X_r^1(R)$ , and
- ③ each regular element of  $R$  is contained in only finitely many prime ideals in  $X_r^1(R)$ .

This was proved by D. Portelli and W. Spangher in Marot Krull ring case (1983) and by Alajbegović and Osmanagić, in general case [*Essential valuations of Krull rings with zero divisors*, Comm. Algebra **18** (1990), 2007-2020].

# Prime factorization of ideals I

- 1 In 1935, [Krull](#) stated (without proof) that  $D$  is a Krull domain if and only if each  $v$ -ideal  $I$  of  $D$  is a unique finite  $v$ -product of height-one prime ideals of  $D$ , i.e.,  $I = (P_1^{e_1} \cdots P_n^{e_n})_v$  for some distinct height-one prime ideals  $P_1, \dots, P_n$  and positive integers  $e_1, \dots, e_n$  such that the expression  $I = (P_1^{e_1} \cdots P_n^{e_n})_v$  is unique [*Idealtheorie*, Ergebnisse der Math. und ihrer Grenz. vol.4, No.3, Berlin, Julius Springer, 1935].
- 2 In 1963, [Nishimura](#) showed that  $D$  is a Krull domain if and only if each  $v$ -ideal of  $D$  is a unique finite  $v$ -product of height-one prime ideals of  $D$ , if and only if  $D$  is a completely integrally closed Mori domain [*Unique factorization of ideals in the sense of quasi-equality*, J. Math. Kyoto Univ. **3** (1963), 115-125].

# Prime factorization of ideals II

- 1 In 1968, [Tramel](#) showed that  $D$  is a Krull domain if and only if each proper principal ideal of  $D$  can be written as a finite  $v$ -product of prime ideals [*Factorization of principal ideals in the sense of quasi-equality*, Doctoral Dissertation, Louisiana State University, 1968], which also shows that the uniqueness of Nishimura's result is superfluous.
- 2 In 1972, [Levitz](#) showed that  $D$  is a Krull domain if and only if each nonzero proper principal ideal of  $D$  can be written as a finite  $t$ -product of prime ideals, if and only if each nonzero  $t$ -ideal of  $D$  is a finite  $t$ -product of height one prime ideals of  $D$  [*A characterization of general Z.P.I.-rings*, Proc. Amer. Math. Soc. **32** (1972), 376-380].

# Prime factorization of ideals IV

## Theorem

*The following statements are equivalent for a ring  $R$ .*

- ①  *$R$  is a Krull ring.*
- ② *Every regular  $v$ -ideal  $I$  of  $R$  is a  $v$ -product of prime ideals, i.e.,  $I = (P_1 \cdots P_n)_t$  for some prime ideals  $P_1, \dots, P_n$ .*
- ③ *Every regular  $t$ -ideal is a  $t$ -product of prime ideals.*
- ④ *Every regular principal ideal is a  $v$ -product of prime ideals.*
- ⑤ *Every regular principal ideal is a  $t$ -product of prime ideals.*

This was proved by Kang for Marot ring case in [A characterization of Krull rings with zero divisors, J. Pure Appl. Algebra **72** (1991), 33-38] and for general case in [Characterizations of Krull rings with zero divisors, J. Pure Appl. Algebra **146** (2000), 283-290].

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# The $w$ -operation I

- Let  $R$  be an integral domain. A nonzero finitely generated ideal  $I$  of  $R$  is called a  **$GV$ -ideal** if  $I^{-1} = R$ , where  $GV$  stands for Glaz and Vasconcelos, and we denote by  $GV(R)$  the set of  $GV$ -ideals of  $R$ .
- The  **$w$ -operation** on  $R$  is a star operation defined by

$$I_w = \{x \in T(R) \mid xJ \subseteq I \text{ for some } J \in GV(R)\}$$

for all  $I \in K(R)$ . Then  $w$  is of finite type,  $t\text{-Max}(R) = w\text{-Max}(R)$ ,  $w \leq t$ ,  $(0)_w = (0)$ , and  $I_w = \bigcap_{P \in t\text{-Max}(R)} IR_P$  for all  $I \in F(R)$ .

- The  $w$ -operation was introduced by Hedstrom and Houston [Some remarks on star operations, J. Pure Appl. Algebra 18 (1980), 37-44] under the name of an  $F_\infty$ -operation.
- The notation of  $w$ -operation was first used by R. McCasland and F.Wang [On  $w$ -modules over strong Mori domains, Comm. Algebra 25 (1997), 1285-1306].

# The $w$ -operation II

- The  $w$ -operation was generalized to rings with zero divisors by Yin, Wang, Zhu, and Chen [ $w$ -modules over commutative rings, J. Korean Math. Soc. 48 (2011), 207-222].
- A finitely generated ideal  $J$  of  $R$  is called a *GV-ideal* if the homomorphism  $\varphi: R \rightarrow \text{Hom}_R(J, R)$  given by  $\varphi(r)(a) = ra$  is an isomorphism.
- If  $J$  is regular, then  $\text{Hom}_R(J, R) = J^{-1}$ , so  $\varphi$  is an isomorphism if and only if  $J^{-1} = R$ .
- The  $w$ -operation on  $R$  defined by, for all  $A \in K(R)$ ,

$$A_w = \{x \in T(R) \mid xJ \subseteq A \text{ for some } J \in GV(R)\}$$

is a reduced star operation of finite type.

- F.G. Wang and H. Kim, *Foundations of Commutative Rings and Their Modules*, Algebra and Applications, vol.22, Singapore, Springer, 2016.



# The $u$ -operation I

- Let  $rGV(R) = \{J \in GV(R) \mid J \text{ is regular}\}$ . Then  $rGV(R)$  is a multiplicative set of regular ideals of  $R$ .
- For each  $I \in K(R)$ , let

$$I_u = \{x \in T(R) \mid xJ \subseteq I \text{ for some } J \in rGV(R)\}.$$

Then  $I_u \in K(R)$  and the map  $u: K(R) \rightarrow K(R)$ , given by  $I \mapsto I_u$ , is a reduced star operation of finite type on  $R$ .

# The $u$ -operation II

## Theorem

*The following conditions hold for all  $a \in T(R)$  and  $I, J \in K(R)$ :*

- ①  $R_u = R$ .
- ②  $aI_u \subseteq (aI)_u$ , and equality holds when  $a$  is regular.
- ③  $I \subseteq I_u$ , and  $I \subseteq J$  implies  $I_u \subseteq J_u$ .
- ④  $(I_u)_u = I_u$ .
- ⑤  $(0)_u = (0)$ .
- ⑥  $I_u = \bigcup \{(I_0)_u \mid I_0 \in K(R), I_0 \subseteq I, \text{ and } I_0 \text{ is finitely generated}\}$ .

*Thus, the map  $u: K(R) \rightarrow K(R)$ , given by  $I \mapsto I_u$ , is a reduced star operation of finite type on  $R$ .*

# The $u$ -operation III

- A ring  $R$  satisfies  $\text{Property}(A)$  if each finitely generated  $Z$ -ideal  $I \subseteq Z(R)$  has a nonzero annihilator. Then  $R$  has  $\text{Property}(A)$  if and only if  $T(R)$  has  $\text{Property}(A)$ .
- The class of rings with  $\text{Property}(A)$  includes Noetherian rings, the polynomial ring, integral domains, and general Krull rings.

## Proposition

- 1  $u \leq w$ .
- 2  $I_u = I_w$  for all regular  $I \in K(R)$ .
- 3 If  $R$  satisfies  $\text{Property}(A)$ , then  $u = w$  on  $R$ .

# Characterizations of general Krull rings I

## Theorem

*The following statements are equivalent for a ring  $R$ .*

- ①  *$R$  is a general Krull ring.*
- ② *Each principal ideal of  $R$  is a finite  $u$ -product of prime ideals.*
- ③ *Each integral  $u$ -ideal of  $R$  is a finite  $u$ -product of prime ideals.*
- ④  *$R$  is a Krull ring,  $\dim(T(R)) = 0$ , and each minimal prime ideal of  $R$  is a principal ideal.*
- ⑤  *$R$  is a Krull ring,  $\dim(T(R)) = 0$ , and the zero element of  $R$  is a finite product of prime elements.*

# Characterizations of general Krull rings II

- Let  $R$  be a general Krull ring. Then  $u = w$  on  $R$  because  $R$  satisfies Property(A). Hence, each principal ideal of  $R$  is a finite  $w$ -product of prime ideals.

## Corollay

*The following statements are equivalent for a ring  $R$ .*

- ①  $R$  is a general Krull ring.
- ② Each principal ideal of  $R$  is a finite  $w$ -product of prime ideals.
- ③ Each integral  $w$ -ideal of  $R$  is a finite  $w$ -product of prime ideals.

## Corollay

*If  $R$  is a general Krull ring, then  $T(R)$  is a zero-dimensional PIR.*

# When is a Krull ring a general Krull ring ?

Question: If  $R$  is a Krull ring such that  $T(R)$  is a zero-dimensional PIR, then  $R$  is a general Krull ring ?

## Example

Let  $V$  be a rank-two discrete valuation ring,  $Q$  be a primary ideal of  $V$  such that  $ht(\sqrt{Q}) = 1$  and  $Q \subsetneq \sqrt{Q}$ , and  $R = V/Q$  be the factor ring of  $V$  modulo  $Q$ . Then the following conditions hold.

- ①  $T(R)$  is an SPR.
- ②  $R$  is a Krull ring but not a general Krull ring.

## Theorem

Let  $R$  be a Krull ring such that  $T(R)$  is a zero-dimensional PIR. Then  $R$  is a general Krull ring if and only if  $R_P$  is a DVR for all  $P \in X_r^1(R)$ .

# Mori-Nagata Theorem I

## Theorem

*The integral closure of a Noetherian domain is a Krull domain.*

## Proof.

- ① This was conjectured by Krull [*Idealtheorie*, Ergebnisse der Math. und ihrer Grenz. vol.4, No.3, Berlin, Julius Springer, 1935].
- ② The local case was proved by Mori [*On the integral closure of an integral domain*, Mem. Coll. Sci. Univ. Kyoto Ser. A. Math. **27** (1953), 249-256].
- ③ The general case was proved by Nagata [*On the derived normal rings of Noetherian integral domains*, Mem. Coll. Sci. Univ. Kyoto Ser. A. Math. **29** (1955), 293-303].



# Mori-Nagata Theorem II

- Krull-Akizuki theorem says that every overring of a one-dimensional Noetherian domain is Noetherian.
- In 1953, Nagata constructed
  - ① a two-dimensional Noetherian domain  $R$  such that there is a non-Noetherian ring between  $R$  and its integral closure and
  - ② a three-dimensional Noetherian domain whose integral closure is not Noetherian.

## Theorem

*The integral closure of a two-dimensional Noetherian domain is Noetherian.*

This was proved by Mori for local rings [*On the integral closure of an integral domain*, Mem. Coll. Sci. Univ. Kyoto Ser. A. Math. **27** (1953), 249-256], and generalized by Nagata [*On the derived normal rings of Noetherian integral domains*, Mem. Coll. Sci. Univ. Kyoto Ser. A. Math. **29** (1955), 293-303].



# Mori-Nagata theorem III

- $R$  is  **$r$ -Noetherian** if each regular ideal of  $R$  is finitely generated.
- In [*The integral closure of a Noetherian ring*, Trans. Amer. Math. Soc. **220** (1976), 159-166], Huckaba constructed an  $n$ -dimensional Noetherian ring whose integral closure is not Noetherian for any integer  $n \geq 1$ , and he showed

## Theorem

- 1 *The integral closure of a Noetherian ring is a Krull ring.*
- 2 *If  $R$  is a Noetherian ring with  $\dim(R) \leq 2$ , then the integral closure of  $R$  is  $r$ -Noetherian.*

# Mori-Nagata theorem IV

- Mori-Nagata theorem has been generalized to Non-Noetherian rings with zero divisors.

## Theorem

Let  $\bar{R}$  be the integral closure of an  $r$ -Noetherian ring  $R$ .

- 1  $\bar{R}$  is a Krull ring.
- 2 If  $r\text{-dim}(R) \leq 2$ , then  $\bar{R}$  is an  $r$ -Noetherian ring.

This theorem has been proved by a series of papers by Kang and Chang (1993, 1999, 2002, and 2023).

# Mori-Nagata theorem V

The next example shows that the integral closure of a Noetherian ring  $R$  need not be general Krull even though  $T(R)$  is an SPR.

## Example

Let  $\mathbb{Q}$  be the field of rational numbers,  $\mathbb{Q}[X]$  be the polynomial ring over  $\mathbb{Q}$ , and  $A = \mathbb{Q}[X]/(X^2)$ ; so  $A$  is an SPR. Let  $\mathfrak{m} = (X)/(X^2)$ ,  $Y$  be an indeterminate over  $A$ , and  $R = A[Y]$ . Then  $R$  is a one-dimensional Noetherian ring and  $T(R) = A(Y)$ , so  $T(R)$  is an SPR. But, note that  $N(A) = \mathfrak{m}$  and  $N(R) = N(A)[Y]$ , so  $N(R) = \mathfrak{m}[Y]$  is a prime ideal of  $R$ . Hence, if  $\overline{R}$  is the integral closure of  $R$ , then  $N(\overline{R}) = \mathfrak{m}T(R)$ , which is a nonzero prime ideal of  $\overline{R}$ , but since  $N(\overline{R}) \cap R = \mathfrak{m}[Y]$ ,  $N(\overline{R})$  is not a maximal ideal of  $\overline{R}$ . Thus,  $\overline{R}$  is a Krull ring but  $\overline{R}$  is not a general Krull ring.

# Mori-Nagata theorem VI

- $R$  is a Noetherian ring and  $\overline{R}$  is the integral closure of  $R$ .

## Theorem

*If  $R$  is integrally closed, then  $R$  is a general Krull ring if and only if  $T(R)$  is a PIR.*

## Corollay

*If  $\dim R \leq 2$ , then  $\overline{R}$  is a general Krull ring if and only if  $\overline{R}$  is a Noetherian ring and  $T(R)$  is a PIR.*

# Nagata ring I

- Let  $R$  be a ring,  $X$  be an indeterminate over  $R$ ,  $R[X]$  be the polynomial ring over  $R$ , and

$$N_* = \{f \in R[X] \mid c(f)_* = R\}$$

for  $*$  =  $d, u, w, v$ , so  $N_d \subseteq N_u \subseteq N_w \subseteq N_v$ .

## Proposition

- $N_u$  is a saturated multiplicative set of  $R[X]$ .
  - Each element of  $N_u$  is regular. Hence,  $R[X]_{N_u}$  is an overring of  $R[X]$ .
  - $\text{Max}(R[X]_{N_u}) = \{P[X]_{N_u} \mid P \in u\text{-Max}(R)\}$ .
- It is clear that if  $R$  is an integral domain, then  $N_u = N_w = N_v$  and  $R[X]_{N_u}$  is the  $(t\text{-})$ Nagata ring of  $R$ .

# Nagata ring II

## Theorem

*The following are equivalent for a ring  $R$  with Property(A).*

- ①  $R$  is a Krull ring.
- ②  $R[X]_{N_u}$  is a Krull ring.
- ③  $R[X]_{N_u}$  is a regular  $\pi$ -ring.
- ④  $R[X]_{N_u}$  is a factorial ring.
- ⑤  $R[X]_{N_u}$  is a Dedekind ring.
- ⑥  $R[X]_{N_u}$  is a regular PIR.

## Corollay

*If  $R$  is the integral closure of a Noetherian ring, then  $R[X]_{N_u}$  is a regular PIR.*

# Nagata ring III

- Let  $R(X) = R[X]_{N_d}$ . Then  $R(X)$  is called the Nagata ring of  $R$  and  $R(X) \subseteq R[X]_{N_u}$ .

## Theorem

*The following statements are equivalent for a ring  $R$ .*

- 1  $R$  is a general Krull ring.
- 2  $R[X]_{N_u}$  is a general Krull ring.
- 3  $R[X]_{N_u}$  is a  $\pi$ -ring.
- 4  $R[X]_{N_u}$  is a UFR.
- 5  $R[X]_{N_u}$  is a general ZPI-ring.
- 6  $R[X]_{N_u}$  is a PIR.
- 7  $R(X)$  is a general Krull ring.

# $u$ -Almost Dedekind rings and general Krull rings I

- A ring is a  *$u$ -Noetherian ring* if it satisfies the ascending chain condition on its integral  $u$ -ideals.
- An integral domain  $D$  is a Krull domain if and only if  $D$  is a  $u$ -Noetherian domain (strong Mori domain) and  $R_P$  is a DVR for all maximal  $u$ -ideals  $P$  of  $R$ .

## Theorem

*The following statements are equivalent for a ring  $R$ .*

- ①  *$R$  is a general Krull ring.*
- ②  *$R$  is a  $u$ -Noetherian ring such that  $R_P$  is a DVR or an SPR for all maximal  $u$ -ideals  $P$  of  $R$ .*



# $u$ -Almost Dedekind rings and general Krull rings II

## Corollay

*A ring  $R$  is a general ZPI-ring if and only if  $R$  is Noetherian and  $R_M$  is a DVR or an SPR for all  $M \in \text{Max}(R)$ .*

- We will say that  $R$  is an almost Dedekind ring (resp., a  $u$ -almost Dedekind ring) if  $R_M$  is a DVR or an SPR for all maximal ideals (resp., maximal  $u$ -ideals)  $M$  of  $R$ .
- Hence,  $R$  is a general ZPI-ring (resp., general Krull ring) if and only if  $R$  is a Noetherian almost Dedekind ring (resp., a  $u$ -almost Dedekind  $u$ -Noetherian ring).

# $u$ -Almost Dedekind rings and general Krull rings III

## Corollary

*The following statements are equivalent for a ring  $R$ .*

- ①  $R$  is a general Krull ring.
- ②  $R$  satisfies the following conditions.
  - ①  $R = \bigcap_{P \in X_r^1(R)} R_{[P]}$ .
  - ②  $R_P$  is a DVR for all  $P \in X_r^1(R)$  and  $R_P$  is an SPR for all prime  $Z$ -ideals  $P$  of  $R$ .
  - ③ Each principal ideal of  $R$  has a finite number of minimal prime ideals.
- ③  $R$  is a  $u$ -almost Dedekind ring in which each principal ideal has a finite number of minimal prime ideals.

# Outline

- 1 Motivation
- 2 Star operations
- 3 Krull rings
  - Krull rings with zero divisors
  - Prime factorization of ideals in Krull rings
- 4 Chang and Oh's Results
  - A new star operation
  - Prime  $u$ -factorization of ideals
  - Mori-Nagata theorem
  - Nagata rings
  - $u$ -Almost Dedekind rings
- 5 Juett's general  $w$ -ZPI ring
- 6 Personal opinion

# Juett's general $w$ -ZPI ring I

- In [General  $w$ -ZPI-rings and a tool for characterizing certain classes of monoid rings, Comm. Algebra 51 (2023), 1117-1134], Juett introduced the notion of general  $w$ -ZPI rings.
- Juett called  $R$  a **general  $w$ -ZPI ring** if every proper  $w$ -ideal of  $R$  is a finite  $w$ -product of prime  $w$ -ideals. Then, among other things, he proved

**Theorem (J.R. Juett, 2023, Comm. Algebra)**

*The following statements are equivalent.*

- ①  $R$  is a general  $w$ -ZPI ring.
- ②  $R$  is a finite direct product of Krull domains and SPRs.
- ③  $R[X]_{N_w}$  is an Euclidean ring.

Therefore, Juett's general  $w$ -ZPI ring is exactly the general Krull ring of this talk.

# Juett's general $w$ -ZPI ring II

- Let  $S$  be a commutative cancellative additive monoid and  $R[S]$  be the semigroup ring of  $S$  over  $R$ . Juett has studied several factorization properties of  $R[S]$  including Dedekind rings,  $\pi$ -rings, UFRs, and general  $w$ -ZPI rings [J1, J2, J3].

[J1] J.R. Juett, *General  $w$ -ZPI-rings and a tool for characterizing certain classes of monoid rings*, Comm. Algebra 51 (2023), 1117-1134.

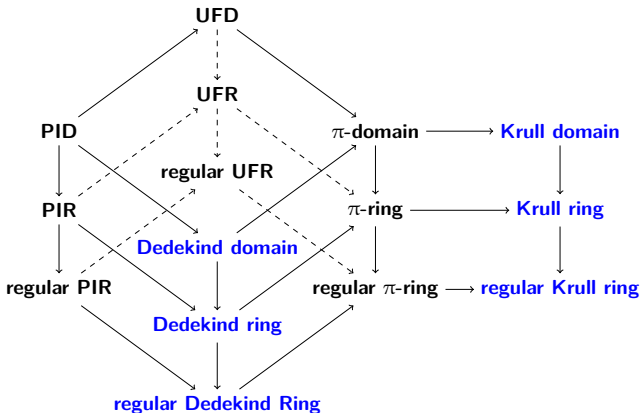
[J2] J.R. Juett, C.P. Mooney, and L.W. Ndungu, *Unique factorization of ideals in commutative rings with zero divisors*, Comm. Algebra 49 (2021), 2101-2125.

[J3] J.R. Juett, C.P. Mooney, and R.D. Roberts, *Unique factorization properties in commutative monoid rings with zero divisors*, Semigroup Forum 102 (2021), 674-696.

# Outline

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# Renaming ?



# What is a star operation ?

- The idea of localization comes from algebraic geometry. The localization at a point  $p$  allows us to focus on only rational functions that are well-defined at the point  $p$ .
- A star operation is a similar tool for studying the ideal factorization properties of commutative rings in the sense that we are just interested in ideals that we certainly have in mind.
- For example, in Krull domains, every nonzero proper principal ideal is a unique finite  $v$ -product of height-one prime ideals. Hence, when we study the ideal factorization of Krull domains, it is enough to look into the height-one prime ideals.
- [Localization](#).



All of the results in this talk appear in

G.W. Chang and J.S. Oh, Prime factorization of ideals in commutative rings, with a focus on Krull rings. J. Korean Math. Soc. 60 (2023), no. 2, 407–464.

Thank you !!